PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.:

09/902,021

Confirmation No.: 9288

Applicant(s):

Mathews et al. July 10, 2001

Filed: Art Unit:

3624

Examiner:

Akers, Geoffrey R.

Title:

SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR

PERFORMING A GENERALIZED CONTINGENT CLAIM VALUATION

Docket No.:

038190/268164

Customer No.: 00826

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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PRELIMINARY AMENDMENT 37 CFR § 1.115 (Formatted According to June 30, 2003, USPTO Website Notice)

Sir:

Please enter this Preliminary Amendment before calculating the claim fee and amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims beginning on page 4 of this paper.

Remarks/Arguments begin on page 10 of this paper.